

## **II. RESPONSE TO OFFICE ACTION**

### **A. Status of the Claims**

Claims 1-31 were pending at the time of the Action. Claims 4 and 26 have been canceled herein. Claims 1-3, 5-25 and 27-31 are now pending and presented for reconsideration.

### **B. Rejection of Claims Under 35 U.S.C. §112, Second Paragraph**

The Action maintains the rejection of claims 3, 4, 14 and 21 and newly rejects claims 2, 16, 27 and 29 under 35 U.S.C. §112, second paragraph as allegedly being indefinite for failing to particularly point out the subject matter which Applicant regards as the invention.

#### ***(1) Rejection of claims 3 and 4***

Claims 3 and 4 are rejected as allegedly broadening the claims from which they depend. Claim 4 has been canceled and thus the rejection of this claim is now moot. With respect to claim 3, it is noted that the claim does not broaden the scope of the claim from which it depends, claim 2. Claim 2 reads as follows:

2. A population of seed of the corn variety I015036, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225.

Claim 3 specifies the population of seed of claim 2, "further defined as an essentially homogeneous population of seed." Claim 3 thus further defines the population of claim 2 as being "essentially homogeneous." While claim 2 is directed to a population of seed of the corn variety I015036, it is not necessary that the population be an essentially homogeneous population of seed. A population may be other than essentially homogeneous yet still be a population. For example, the relevant definition of "population" from the on-line version of the Merriam-Webster™ dictionary is "a body of persons or individuals having a quality or characteristic in

common.” **Exhibit A.** In contrast, the definition for “homogeneous” from the same on-line dictionary is given as “of uniform structure or composition throughout.” **Exhibit B.** Therefore a collection of seed may at the same time have a quality or characteristic in common, *e.g.*, be of variety I015036, yet not be of uniform structure or composition throughout. For example, a population of seed of corn variety I015036 could be non-uniform in size or shape, due to growth or other conditions, yet still have the common quality of being a corn plant of variety I015036. As such, claim 3 is in proper dependent form and is not indefinite. Removal of the rejection is thus respectfully requested.

***(2) Rejection of claim 14***

The rejection of claim 14 has also been maintained for reciting the term “essentially homogeneous population.” Claim 14 reads as follows:

14. An essentially homogeneous population of corn plants produced by growing the seed of the corn variety I015036, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225.

Applicants again note that, as set forth above, a population need not be essentially homogeneous, whether a population of plants or seeds. Further defining a population as essentially homogeneous does not render the claim indefinite. A population of plants grown from the seed of corn variety I015036 could vary in size or other characteristics due to environmental or other conditions, but still constitute a population of corn plant produced by growing the seed of corn variety I015036. As such, “essentially homogeneous” further defines the scope of the claim and the term as it is used is not indefinite. Removal of the rejection is thus respectfully requested.

**(3) Rejection of claim 21**

The Action rejects claim 21 as allegedly not specifying how many crosses are performed. In particular, the Action appears to argue that claim 21 uses the term "comprising" and thus could allegedly include a non-recited number of additional steps envisioned by the examiner. Claim 21 reads as follows:

21. A process of producing corn seed, comprising crossing a first parent corn plant with a second parent corn plant, wherein one or both of the first or the second parent corn plant is a plant of the corn variety I015036, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225, wherein seed is allowed to form.

The rejection that has been made appears to be a *per se* rejection of the use of the open transition "comprising," as no essential steps have been alleged to be absent. The rejection made would apply to any use of open language, because certain steps could allegedly be added to any claim in which "comprising" is used. That is, anytime "comprising" is the transition, as in the overwhelming majority of claims issued by the U.S.P.T.O., other steps could be envisioned. For example, a claim to a composition comprising components A and B would be infringed by a composition containing A and B but to which any number of other, unspecified components had been added. Similarly, a claim to a method comprising steps A and B would be infringed by a process involving steps A and B, regardless of whether steps C and D were later carried out. However, what is relevant under 35 U.S.C. §112, second paragraph, is that one of skill in the art be able to understand the metes and bounds of what is claimed when the claim is given its plain meaning. Applicants submit that the claim has been given a strained hypothetical meaning inconsistent with the plain meaning of the wording of the claim.

The steps in the instantly claimed process of producing corn seed have been fully recited. All that is required to perform the recited process of producing corn seed is crossing first and

second parent corn plants, wherein at least one of the plants is from corn variety I015036, and where seed is allowed to form. That hypothetical steps can be envisioned because of the use of the transition “comprising” does nothing to render the claim any less clear. Claims are read as written, not based on additional hypothetical steps or components.

With regard to the examiners statement that claim 22 further defines the method of claim 21 as a process of producing F1 hybrid corn seed, and thus claim 21 must include some other undefined steps, Applicants note that this is not the case. Claim 21 is not limited to crossing corn plant I015036 with itself. The claim is written in alternative form to include the situation where both parent plants are of corn variety I015036, as well as where one of the parents is a plant other than corn variety I015036. Claim 22 narrows this by specifying the situation to where only one parent corn plant is I015036. The claim is therefore fully definite and the addition of the limitation of “F1” before “corn seed” in claim 21 as suggested by the examiner would be inconsistent with the language of the claim. In view of the foregoing, removal of the rejection is respectfully requested.

#### ***(4) Rejection of claim 2***

The Action rejects claim 2 taking the position that “population of seed of the corn variety I015036” is unclear on the basis that an express definition is not provided for “population” and based on a citation the Examiner makes to a particular use of the term. In response, Applicants note that the term “population” has a well known meaning in the art and thus the use of the term in the claims is not indefinite. Claims must be given their plain meaning and limitations from the specification are not read into a claim. Provided herewith as evidence of the well known meaning of “population,” a copy of the definition for this term from the on-line version of the

Merriam-Webster™ dictionary is given at **Exhibit A**. The term is therefore fully definite and removal of the rejection is respectfully requested.

***(5) Rejections of claims 16 and 27***

The Action rejects the claims as allegedly broadening the scope of the claims from which they depend. It is in particular stated that the claims “add on a gene and trait to the plant of their parent claims” and that there is no indication how the plants acquired the gene and that the gene is not possessed by the plant of the parent claims.

Applicants do not understand the rejection. As stated in both sentences explaining the rejection, the claims further narrow the claim from which they depend because the claims specify a further limitation (“add on a gene”), and the limitation is not possessed by the parent claims. Specifically, claims 16 adds “a nuclear or cytoplasmically-inherited gene conferring male sterility,” while claim 27 adds “a single locus conversion,” neither element of which is required by the main claim. Therefore, both claims (1) ***contain a reference to parent claim*** from which they depend, (2) contain a ***further limitation*** of the subject matter claimed in the main claim, and (3) ***incorporate all elements*** of the claim from which they depend. The claims are therefore in proper dependent form pursuant to 37 C.F.R. §1.75(c) and are fully definite. As to how the plants acquire the added elements, this is irrelevant to the scope or definiteness of the claims, as the claims are product claims, not process or product by process claims.

**C. Rejection of Claims Under 35 U.S.C. §112, First Paragraph – Written Description**

The Action maintains the rejection of claims 3, 4, 14 and 24-31 and newly rejects claims 2 and 4 under 35 U.S.C. §112, first paragraph, as allegedly containing subject matter which was

not described in the specification in such a way as to convey that Applicants were in possession of the claimed invention. Applicants respectfully traverse as set forth below.

***(1) Populations and essentially homogeneous populations of seed of variety I015036 in claims 2 and 3 and populations of plants grown therefrom recited in claim 14 have been fully described.***

With regard to claim 2, Applicants first note that a population of seeds of corn variety I015036 has been deposited with the ATCC. The claimed population is therefore fully described. With respect to claim 3, further describing the population of claim 3 as an “essentially homogeneous population” of seed of corn variety I015036, Applicants again note that a population need not be essentially homogeneous. As set forth above, the relevant definition of “population” from the on-line version of the Merriam-Webster™ dictionary is “a body of persons or individuals having a quality or characteristic in common.” **Exhibit A.** In contrast, the definition for “homogeneous” from the same on-line dictionary is given as “of uniform structure or composition throughout.” **Exhibit B.** Therefore a collection of seed may at one time have a quality or characteristic in common, e.g., be of variety I015036, yet not be of uniform structure or composition throughout. For example, a population of seed of corn variety I015036 could be non-uniform in size or shape, due to growth or other conditions, yet still have the common quality of being a corn plant of variety I015036.

Claim 3 is thus a proper dependent claim further narrowing claim 2. Given the description of the subject matter of claim 2, which was deposited with the ATCC, claim 3 is fully described. Claim 14 is similarly directed to an essentially homogeneous population of corn plants produced by growing the seed of the corn variety I015036, and is therefore also described. Removal of the rejections is thus respectfully requested.

***(2) Hybrid plants and seeds recited in claims 24-25 have been fully described***

Rejected claims 24-25 are directed to hybrid plants and seeds produced with corn plant I015036 as one parent. With regard to claim 26, this has been cancelled herein as duplicative of the claim from which it depended. Applicants have fully described the claimed subject matter in compliance with the written description requirement of 35 U.S.C. §112, first paragraph. As set forth in the breeding history at page 26 of the specification, corn plant I015036 is an inbred corn plant. All of the claimed hybrid plants having I015036 as a parent will therefore contain a copy of the same genome as corn plant I015036. That is, because I015036 is an inbred corn plant, hybrid corn plants derived therefrom will have as half of their genetic material the same genetic contribution of corn plant I015036, save the possibility of the rare spontaneous mutation or undetected segregating locus. This entire genetic contribution of corn plant I015036 is described in the specification by way of the deposit of seed of corn plant I015036 with the ATCC. *See Enzo Biochem, Inc. v. Gen-Probe Inc.*, 296 F.3d 1316, 1330 (Fed. Cir. 2002) (holding that a biological deposit constitutes a written description of the deposited material under 35 U.S.C. §112, first paragraph). This represents a description of concrete and identifiable structural characteristics defining the claimed hybrid plants and distinguishing them from other plants in full compliance with the written description requirement.

The Federal Circuit has noted that such shared identifiable structural features are important to the written description requirement. *The Regents of The University of California v. Eli Lilly and Co.*, 119 F.3d 1559, 1568; 43 USPQ2d 1398, 1406 (Fed. Cir. 1997) (noting that a name alone does not satisfy the written description requirement where “it does not define any structural features commonly possessed by members of the genus that distinguish them from others. One skilled in the art therefore cannot, *as one can do with a fully described genus*,

*visualize or recognize the identity of the members of the genus"* (emphasis added)). Here, all of the members of the claimed genus of hybrids having I015036 as one parent share the structural feature of having the genetic complement of I015036. One of skill in the art could thus readily identify the members of the genus. The written description requirement has, therefore, been fully complied with.

*a. The shared characteristics of the claimed hybrid plants are readily identified and described in the specification*

As set forth above, the claimed F1 hybrid plants having I015036 as one parent will share the genetic complement received from I015036. This is readily identifiable by genetic marker analysis, as shown in Tables 6 and 8 of the specification. There shown is the SSR genetic marker profile of corn variety I015036, as well as an the exemplary hybrid plant designated 8012681 that was made using I015036 as one parent. As can be seen, hybrid corn plant 8012681 has the SSR genetic marker profile of I015036, and also includes the genetic markers from the second parent plant used to make the hybrid. The same will be true for any other hybrid plant having I015036 as one parent, save for an occasional difference at a locus due to spontaneous genetic rearrangements, which occur at statistically insignificant frequencies in essentially all organisms.

The second plant that is used to make the claimed hybrid plants is irrelevant, as a hybrid will be produced any time corn plant I015036 is crossed with a second plant. That is, any second plant capable of reproduction may be used to make the hybrid plant. Applicants cannot therefore be said to lack written description for the second genetic complement. This is particularly so given that hundreds or even thousands of different inbred corn lines were well known to those of skill in the art prior to the filing of the instant application, each of which could be crossed to make a hybrid plant within the scope of the claims. This is evidenced by a review of the



U.S.P.T.O. patent data website, which reveals utility patents issued on hundreds of different corn varieties. For example, a search of patents including "inbred corn line" in the title reveals more than 195 patents issued for corn varieties prior to the filing date of the current application; and a search for patents having "inbred maize line" in the title reveals more than 120 patents issued prior to the filing date. Any one of these corn plants, or the many hundreds or thousands of other maize plants that were known at the time the application was filed, could be used to produce an F1 hybrid plant having corn variety I015036 as one parent, and each of these would share the genetic complement of I015036.

Written description must be reviewed from the perspective of one of skill in the art at the time the application is filed. *Wang Labs., Inc. v. Toshiba Corp.*, 993 F.2d 858, 863 (Fed. Cir. 1993). The specification need not disclose what is well-known to those skilled in the art and preferably omits what is well-known and already available to the public. *In re Buchner*, 929 F.2d 660, 661 (Fed. Cir. 1991). As *any* second plant may be used to produce the claimed hybrid plants and such plants were well known to those of skill in the art, applicants cannot be said to have not been in possession of the second parent plant. The claimed hybrid corn plants have therefore been described in compliance with 35 U.S.C. §112, first paragraph.

The Action attempts to downplay the significance of the genetic marker data given in the specification by stating that some loci may be shared by other plants, that primer sequences are not described or that certain isozyme markers are not informative. However, no effort has been made to show that any substantial number of marker loci actually *are* shared by other plants. Further, Applicants do not claim such "other" plants, so this is irrelevant to written description. No basis has been provided to conclude that the claimed hybrid plants are not distinct and clearly identifiable by the genetic marker profile that has been set forth. Regarding the availability of

genetic markers, the service that was used to detect SSR markers is commercially available to the public. Further, SSR and any of the other genetic marker systems that are well known to those of skill in the art may potentially be used, as is described on pages 60-61 of the specification. Regardless of whether SSR markers are used, the shared genetic complement of the claimed hybrid plants having corn variety I015036 as one parent distinguishes them. As the entire genome of corn variety I015036 has been described, at least, by way of the seed deposit that has been made, any polymorphic locus could be used including or in addition to the SSR markers shown in Tables 6 and 8.

*b. The Action's allegations that the expression of the genetic complement of corn variety I015036 is unpredictable are inapposite*

The Action alleges that claimed hybrid plants have not been described despite inheriting the genetic complement of variety I015036 because information is not provided regarding the morphological and physiological traits of the hybrid plants. It is alleged that how the genes that are inherited would be expressed or would interact has not been shown. However, this misses the point that applicants have gone one step further than morphological and physiological traits by describing the claimed hybrid plants at the most basic structural level, the genome. A better description could not be made. Morphological and physiological traits, while helpful, are also subject to environmental variation and require subjective gradations. Genetic testing goes to the source of traits and yields concrete values.

The law further makes no distinctions regarding the manner in which applicants choose to describe claimed compositions. Rather, an applicant must merely describe the claimed subject matter by "whatever characteristics sufficiently distinguish it." *Amgen v. Chugai Pharmaceutical*, 927 F.2d 1200, 1206 (Fed. Cir. 1991). Here, Applicants have described the

genetic complement of parent plant I015036 that will be comprised in the claimed hybrid plants. This has been achieved using the SSR and isozyme genetic marker profiles given in tables 6-9 of the specification. Indeed, applicants describe the entire genetic complement of parent plant I015036 by way of a seed deposit made with the ATCC. *Enzo Biochem, Inc. v. Gen-Probe Inc.*, 296 F.3d 1316, 1330 (Fed. Cir. 2002). As such, any of the many well known marker systems may be used to readily detect and identify any of the claimed hybrids produced having variety I015036 as one parent.

*c. Applicants fully describe an exemplary hybrid made using inbred I015036*

Further description of claimed hybrid plants is also provided in the specification by way of a detailed description of hybrid 8012681, which was produced with I015036 as one inbred parent. This plant is representative of hybrids produced using I015036 as one parent, each of which comprise the genetic complement of the parent corn plant as set forth above. Table 4 of the specification gives the performance characteristics for 8012681 and provides comparisons against other hybrid varieties. In Table 4, the morphological traits of 8012681 are given. The SSR and isozyme marker profiles for hybrid 8012681 are given in Tables 8 and 9, respectively. This information, combined with the descriptions of I015036 in the specification and the shared structure among hybrids having corn plant I015036 as a parent, is more than adequate to describe the claimed subject matter.

***(3) Single locus converted plants of corn variety I015036 in claims 27-30 have been fully described***

The Action has maintained the rejection of claims 27-30, which are directed to a single locus conversion of corn plant I015036. In particular, the Action has alleged that: (1) the characteristics of the claimed single locus converted plant are unpredictable and/or not described,

(2) the claims encompass genes that have yet to be discovered, and (3) the sequences and/or sources for the numerous examples of single locus traits disclosed in the application have not been described.

*a. The claimed subject matter is not unpredictable*

With regard to the first point made by the Action, it is noted that a “single locus converted (conversion) plant” is defined at page 23, lines 6-12 of the specification as follows:

[p]lants which are developed by a plant breeding technique called backcrossing wherein essentially all of the desired morphological and physiological characteristics of an inbred are recovered in addition to the characteristics conferred by the single locus transferred into the inbred *via* the backcrossing technique. A single locus may comprise one gene, or in the case of transgenic plants, one or more transgenes integrated into the host genome at a single site (locus).

Therefore, the claimed plants comprising a single locus conversion possess “essentially all of the desired morphological and physiological characteristics of [the single gene converted plant]”. The Action’s comments with regard to various allegedly unknown characteristics are thus outside the scope of the claims. With regard to the claimed subject matter, Applicants have more than adequately described such a plant that comprises essentially all of the desired morphological and physiological characteristics of corn plant I015036 by way of the description and deposit of I015036 alone, not to mention other description provided. To hold otherwise would be to limit Applicants to that subject matter described *ipsis verbis* in the specification. This position is expressly contradictory to Federal Circuit precedent. *In re Gosteli*, 872 F.2d 1008, 1012, 10 USPQ2d 1614, 1618 (Fed. Cir. 1989) (stating that the written description requirement does not require an applicant to “describe exactly the subject matter claimed, [instead] the description must clearly allow persons of ordinary skill in the art to recognize that [he or she] invented what is claimed” (citations omitted)) .

*b. The rejection has been applied with respect to unclaimed subject matter*

With respect to the Action's allegation that the claims encompass genes that have yet to be discovered, it is noted that Applicants *do not claim undiscovered genes*. The claimed subject matter is the corn variety I015036 comprising a single locus conversion. Any single locus conversion may be introduced into corn variety I015036 to produce the claimed single locus conversion. The fact that a given gene could be isolated in the future and introduced as a single locus conversion is irrelevant – the new gene is not claimed *per se*, a single locus conversion of corn plant I015036 is claimed. Under the reasoning of the Action, essentially any claim could be read to encompass subject matter yet to be invented and therefore not be described. A claim to a corn plant transformed with a particular gene would be invalid because it would encompass corn varieties yet to be discovered. A claim to a given gene operably linked to a regulatory element would be invalid because as yet to be isolated regulatory elements would be encompassed. Nearly any biotechnological invention could be viewed this way applying the Action's reasoning. However, it is not any given single locus that is claimed, it is a corn plant of corn variety I015036 which comprises a single locus that has been claimed. The claimed subject matter is thus fully described.

*c. Applicants have disclosed numerous single locus traits and these traits were well known to those of skill in the art when the application was filed*

The Action alleges that the traits recited in the application and referred to in Applicants previous response to office action have not been shown to have been known in the art. The Action has therefore invited Applicants to amend the claims to recite individual examples of single locus traits. However, Applicants' previous evidence submitted in the prior response to office action showed numerous single locus traits.

Among just the examples in the specification recited with a publication reference or patent number are the following (see specification at pages 29-34): genes conferring male sterility (U.S. Patent No. 3,861,709, U.S. Patent No. 3,710,511, U.S. Patent No. 4,654,465, U.S. Patent No. 5,625,132, and U.S. Patent No. 4,727,219, incorporated by reference); male-sterility restorer genes (U.S. Patent Nos. 5,530,191, 5,689,041, 5,741,684, and 5,684,242, incorporated by reference); a herbicide resistant EPSPS mutation termed *aroA* (U.S. Patent 4,535,060); and a mutant maize gene encoding a protein with amino acid changes at residues 102 and 106 (PCT Publication WO 97/04103).

The single locus traits are also described by way of PCT Application Publ. WO 95/06128, which was specifically incorporated by reference at page 31 of the specification. Examples of some of the single locus traits described in WO 95/06128, including any associated phenotype and publication reference given, are the following:

*the uidA* gene from *E. Coli* encoding  $\beta$ -glucuronidase (GUS) (cells expressing *uidA* produce a blue color when given the appropriate substrate, Jefferson, R.A. 1987. *Plant Mol. Biol. Rep* 5: 387-405); the *bar* gene from *Streptomyces hygroscopicus* encoding phosphinothricin acetyltransferase (PAT) (cells expressing PAT are resistant to the herbicide Basta, White, J., Chang, S.-Y.P., Bibb, M.J., and Bibb, M.J. 1990. *Nucl. Ac. Research* 18: 1062); the *lux* gene from firefly encoding luciferase (cells expressing *lux* emit light under appropriate assay conditions, deWet, J.R., Wood, K.V., DeLuca, M., Helinski, D.R., Subramani, S. 1987. *Mol. Cell. Biol.* 7: 725-737); the *dhfr* gene from mouse encoding dihydrofolate reductase (DHFR) (cells expressing *dhfr* are resistant to methotrexate; Eichholtz, D.A., Rogers, S.G., Horsch, R.B., Klee, H.J., Hayford, M., Hoffman, N.L., Bradford, S.B., Fink, C., Flick, J., O'Connell, K.M., Frayley, R.T. 1987. *Somatic Cell Mol. Genet.* 13: 67-76); the *neo* gene from *E.Coli* encoding aminoglycoside phosphotransferase (APH) (cells expressing *neo* are resistant to the aminoglycoside antibiotics; Beck, E., Ludwig, G., Auerswald, E.A., Reiss, B., Schaller, H. 1982. *Gene* 19: 327-336); the *amp* gene from *E. Coli* encoding  $\beta$ -lactamase (cells expressing  $\beta$ -lactamase produce a chromogenic compound when given the appropriate substrate; Sutcliffe, J.G. 1978. *Proc. Nat. Acad. Sci. USA* 75: 3737-3741); the *xyle* gene from *Ps. putida* encoding catechol dihydroxygenase (cells expressing *xyle* produce a chromogenic compound when given the appropriate substrate; Zukowsky *et al.* 1983. *Proc. Nat. Acad. Sci. USA* 80: 1101-1105); the R,C1 and B genes from maize encode proteins that regulate anthocyanin biosynthesis in maize (Goff, S., Klein, T., Ruth, B., Fromm, M., Cone, K., Radicella, J., Chandler, V. 1990. *EMBO J.*: 2517-2522); the ALS gene from *Zea mays* encoding acetolactate synthase and

mutated to confer resistance to sulfonylurea herbicides (cells expressing ALS are resistant to the herbicide; Gleen. Yang, L.Y., Gross, P.R., Chen, C.H., Lissis, M. 1992. *Plant Molecular Biology* 18: 1185-1187); the proteinase inhibitor II gene from potato and tomato (plants expressing the proteinase inhibitor II gene show increased resistance to insects; potato - Graham, J.S., Hall, G., Pearce, G., Ryan, C.A. 1986 *Mol. Cell. Biol.* 2: 1044-1051; tomato - Pearce, G., Strydom, D., Johnson, S., Ryan, C.A. 1991. *Science* 253: 895-898); the *Bt* gene from *Bacillus thuringiensis* berliner 1715 encoding a protein that is toxic to insects (this gene is the coding sequence of *Bt* 884 modified in two regions for improved expression in plants; Vaeck, M., Reynaerts, A., Hofte, H., Jansens, S., DeBeuckeleer, M., Dean, C., Aeabeau, M., Van Montagu, M., and Leemans, J. 1987. *Nature* 328: 33-37); the *bxn* gene from *Klebsiella ozaenae* encoding a nitrilase enzyme specific for the herbicide bromoxynil (cells expressing this gene are resistant to the herbicide bromoxynil; Stalker, D.m., McBride, K.E., and Malyj, L. *Science* 242: 419-422, 1988); the WGA-A gene encoding wheat germ agglutinin (expression of the WGA-A gene confers resistance to insects; Smith, J.J., Raikhel, N.V. 1989. *Plant Mol. Biology* 13: 601-603); the *dapA* gene from *E. coli* encoding dihydrodipicolinate synthase (expression of this gene in plant cells produces increased levels of free lysine; Richaud, F., Richaud, C., Rafet, P. and Patte, J.C. 1986. *J. Bacteriol.* 166: 297-300); the *Z10* gene encoding a 10kd zein storage protein from maize (expression of this gene in cells alters the quantities of 10kd Zein in the cells; Kirihaara, J.A., Hunsperger, J.P., Mahoney, W.C., and Messing, J. 1988. *Mol. Gen. Genet.* 211: 477-484); the *Bt* gene cloned from *Bacillus thuringiensis* Kurstaki encoding a protein that is toxic to insects (the gene is the coding sequence of the cry IA(c) gene modified for improved expression in plants - plants expressing this gene are resistant to insects; Höfte, H. and Whiteley, H.R., 1989. *Microbiological Reviews.* 53: 242-255); the ALS gene from *Arabidopsis thaliana* encoding a sulfonylurea herbicide resistant acetolactate synthase enzyme (cells expressing this gene are resistant to the herbicide Gleen. Haughn, G.W., Smith, J., Mazur, B., and Somerville, C. 1988. *Mol. Gen. Genet.* 211: 266-271); the *deh1* gene from *Pseudomonas putida* encoding a dehalogenase enzyme (cells expressing this gene are resistant to the herbicide Dalapon; Buchanan-Wollaston, V., Snape, A., and Cannon, F. 1992. *Plant Cell Reports* 11: 627-631); the hygromycin phosphotransferase II gene from *E. coli* (expression of this gene in cells produces resistance to the antibiotic hygromycin. Waldron, C., Murphy, E.B., Roberts, J.L., Gustafson, G.D., Armour, S.L., and Malcolm, S.K. *Plant Molecular Biology* 5: 103-108, 1985); the *mtlD* gene cloned from *E. coli* (the gene encodes the enzyme mannitol-1-phosphate dehydrogenase; Lee and Saier, 1983. *J. of Bacteriol.* 153:685); the HVA-1 gene encoding a Late Embryogenesis Abundant (LEA) protein (the gene was isolated from barley; Dure, L., Crouch, M., Harada, J., Ho, T.-H. D. Mundy, J., Quatrano, R., Thomas, T., and Sung, R., *Plant Molecular Biology* 12: 475-486.

The foregoing represent just some of the single locus coding sequences that were known as of March 2, 1995; *nearly six years prior* to the filing of the instant application. More than 25 regulatory elements were also described therein, as were numerous transformation vectors comprising combinations of these elements. Applicants could describe many more examples of

single locus traits that were well known as of the filing date, and would be glad to do so should the Examiner find it useful. It thus goes without saying that single locus traits were more than well known to those of skill in the art as of the filing date and were fully described in the specification.

Techniques for the introduction of single locus traits by genetic transformation were further well known to those of skill in the art. Some of the transformation methods for corn that were well known as of the filing date and cited in the specification include the following: electroporation (U.S. Patent No. 5,384,253), microprojectile bombardment (U.S. Patent No. 5,550,318; U.S. Patent No. 5,736,369, U.S. Patent No. 5,538,880; and PCT Publication WO 95/06128), *Agrobacterium*-mediated transformation (U.S. Patent No. 5,591,616 and E.P. Publication EP672752), direct DNA uptake transformation of protoplasts (Omirulleh *et al.*, 1993) and silicon carbide fiber-mediated transformation (U.S. Patent No. 5,302,532 and U.S. Patent No. 5,464,765). Introduction of such traits by conventional breeding was also known. In fact, this is one of the most fundamental procedures in agricultural science, and it has not been alleged that this has not been described.

Applicants have therefore shown possession of the claimed single locus conversions. Both large numbers of single locus traits and the associated phenotypes were well known to those of skill in the art. The specification itself defines a single locus converted plant as comprising essentially all of the desired morphological and physiological characteristics of the starting non-converted plant, *e.g.*, I015036. Well more than an adequate number of examples have been provided and were known in the art to satisfy written description. The state of the art must be considered in the written description determination. As such, Applicants respectfully request removal of the rejection.



***(4) The rejection of claims 21 and 31 has not been explained***

Claims 21 and 31 are process claims that involve crossing corn variety I015036 according to the specified step(s). Applicants understand from a telephonic interview held in co-pending serial number 09/788,334, which has claims that are substantially identical to the current case and received substantially identical rejections, that it is the position of the Action that written description must be provided for each intermediate product in a method claim in the same manner as if the particular product was individually claimed as a composition of matter. That is, Applicants understand that the position taken is that it is not sufficient to describe all of the starting materials for a process and all of the steps carried out on the starting materials, but rather that the structural characteristics of any product made at any intermediate or penultimate step must be described as if claimed as a composition of matter. Applicants submit that this is a misstatement of the law and, more significantly, note that this rejection has not been set forth on the record. No basis in law or fact has been given for maintaining the rejection, as the Action's arguments appear to be entirely directed to composition of matter claims.

Findings of fact and conclusions of law by the U.S. Patent and Trademark Office must be made in accordance with the Administrative Procedure Act ("APA"). 5 U.S.C. § 706(A), (E), 1994; *see also In re Zurko*, 59 USPQ 2d 1693 (Fed. Cir. 2001). In particular, the Federal Circuit has held that findings by the Board of Patent Appeals and Interferences must be supported by "substantial evidence" within the record pursuant to the APA. *See In re Gartside*, 203 F.3d 1305, 1314-15 (Fed. Cir. 2000). Thus, an Examiner's position must be supported by "substantial evidence" within the record in order to be upheld by the Board of Patent Appeals and Interferences. The current rejections are completely unsupported in fact or law. The standards of the APA have therefore not been met and removal of the rejection is thus respectfully requested.

***(5) The rejection of claim 31 is improper***

The Action has maintained the rejection of claim 31. The claim reads as follows:

31. A method of producing an inbred corn plant derived from the corn variety I015036, the method comprising the steps of:

- (a) preparing a progeny plant derived from corn variety I015036 by crossing a plant of the corn variety I015036 with a second corn plant, wherein a sample of the seed of the corn variety I015036 was deposited under ATCC Accession No. PTA-3225;
- (b) crossing the progeny plant with itself or a second plant to produce a seed of a progeny plant of a subsequent generation;
- (c) growing a progeny plant of a subsequent generation from said seed and crossing the progeny plant of a subsequent generation with itself or a second plant; and
- (d) repeating steps (b) and (c) for an addition 3-10 generations to produce an inbred corn plant derived from the corn variety I015036.

As set forth above, it is believed that the rejection is made based on the position that each product produced at any intermediate or penultimate step of the method must be described as if claimed *per se*. It is respectfully submitted that this is a misstatement of the law. What is required to meet the written description requirement is that an Applicant show that he or she was in possession of the ***claimed invention***. *Vas-Cath, Inc. v. Mahurkar*, 935 F.2d 1555, 1563-64 (Fed. Cir. 1991). Here, a process is claimed, not a product of a process, and thus the steps of that process must be described, not intermediate or final products of the steps. The starting materials for the process must also be provided, otherwise the process could not be completed. However, the only starting materials required are corn variety I015036, which the Action does not allege to have not been described, and ***any*** second corn plant. As set forth above, corn plants were well known, and this has also therefore been fully described.

With respect to the steps, these have been fully set forth in the claim. It has not been alleged that any essential steps are absent. All that is required to complete the claimed method is to cross the corn variety I015036 or a product that is produced by any preceding step according to the steps given. All of the starting materials for any step within the method are either (1) corn

variety I015036, (2) any second corn plant, or (3) a corn plant that is produced by following a preceding method step. The method has therefore been fully described.

It is also noted that corn breeding is well known to those of skill in the art. Without it, there would not be commercial corn varieties, which are typically sold as hybrids produced by crossing two inbred varieties. This is evidenced by the more than 300 issued patents to inbred maize varieties discussed above, given that inbred plants are not produced without multiple generations of intentional self-fertilization breeding steps. All of the steps recited in claim 31 are typical of the process used for the production of new corn varieties, save for the point of novelty, corn variety I015036. This is evidenced in the breeding history for the production of corn variety I015036, which is given in the specification. The specification also describes methods and considerations for producing new corn varieties in the review of related art, for example, at pages 2-4 of the application.

In conclusion, all steps of the claimed process have been recited, all starting materials have been fully described, and methods of producing new corn varieties were well known to those of skill in the art. Claim 31 has therefore been fully described in compliance with 35 U.S.C. §112, first paragraph. Removal of the rejection is thus respectfully requested.

**D. Rejection of Claims Under 35 U.S.C. §112, First Paragraph - Enablement**

The Action rejects claims 24, 25 and 26 under 35 U.S.C. §112, first paragraph as allegedly not enabled. Applicants respectfully traverse.

The rejected claims are directed to corn plants of variety I015036 comprising a single locus conversion. In an attempt to support the rejection, the Action cites several references alleged to show the difficulty of making male sterile or single locus converted plants. However,

no basis has been given to show that these references have any relevance to *corn* plants. Hunsperger deals with petunias; Kraft with sugar beets and Eshed with Tomatoes. No allegation has been made that the references refer to corn plants. The relevance of the references to the claimed invention has therefore not been established as is specifically required to establish a *prima facie* case of non-enablement.

The Action disregards Applicants example of a conversion that has been made with a proprietary corn variety by stating that information has been left out, such as the number of crosses that were performed at each step. This is incorrect. The breeding history of the conversion that was made is given. In the breeding history, seven backcrosses are described. No steps are left out and no basis has been provided to demonstrate why this example does not demonstrate enablement for the instant variety. In view of Applicants example, the detailed teaching in the specification and the failure to provide any basis to doubt the enablement of the claims, removal of the rejection is respectfully requested.

**E. Rejection of Claims Under 35 U.S.C. §102(e)/103(a)**

The Action has maintained the rejection of claims 24-26 under 35 U.S.C. §102(e)/103(a) as allegedly anticipated or, in the alternative, obvious over U.S. Patent 6,043,417. The rejection with respect to the other claims has been withdrawn, but the instant rejection has been maintained on the basis that the instantly claimed hybrid plants are not limited to any given characteristics. Applicants respectfully traverse as the claims *are* limited in the characteristics of the claimed hybrid plants.

In all of the rejected claims, the claimed hybrid plants have inbred corn variety I015036 as one parent. As set forth above, the claimed plant will therefore comprise the haploid genetic

complement of corn variety I015036. The Action acknowledges that this parent plant is unique based on the withdrawal of corresponding rejections of claims to the plant itself. As the instantly claimed subject matter also includes the genetic complement of corn variety I015036, the claims may not be anticipated or rendered obvious. Regardless of allegations the Action makes regarding the predictability of phenotypic characteristics, the prior art clearly does not teach this genetic complement and this genetic complement is a part of the claimed subject matter. The claims may therefore not be anticipated.

The caselaw cited by the Action in which the burden is placed on applicants to show that claimed subject matter is not anticipated applies to the situation where a product by process claim does not yield a product having defined distinguishing characteristics as a result of the process. This is not the case here, given that all of the claimed plants comprise the unique genetic complement of corn variety I015036. The burden has therefore been improperly shifted to Applicants.

With regard to the alternative obviousness rejection, it is noted that, in order to establish a *prima facie* case of obviousness, three criteria must be met: (1) there must be some motivation or suggestion in the cited prior art or in the knowledge generally available to one of skill in the art to arrive at the claimed invention, (2) there must be a reasonable expectation of success, and (3) the prior art must teach or suggest all claim limitations. *See In re Vaeck*, 947 F.2d 488, 20 USPQ 2d 1438 (Fed. Cir. 1991), *see also*, M.P.E.P. § 2142. All three of these criteria are missing in the instant rejection. First, there is no motivation or suggestion in the prior art to arrive at the invention and no rationale for such a motivation has been alleged in the Action. The rejection thus relies on an "obvious to try" type rationale. This approach has been rejected by the Federal Circuit. *See In re O'Farrell*, 853 F.2d 894, 903 (Fed. Cir. 1988). Second, one of skill in the art

would have no reasonable expectation of success in selecting a set of plants that could be crossed to arrive at the claimed plants. For example, there is no indication or showing in the Action that such a set of plants even exists, let alone the motivation for one of skill in the art to cross the plants. Given the fact that corn variety I015036 is admittedly novel, and that the claimed hybrid progeny of this plant necessarily contain the unique genetic complement of corn variety I015036, it would have in fact been *impossible* to arrive at the claimed hybrid plants having corn variety I015036 as one parent. Finally, the Action has not shown that the prior art teaches or suggests all of the claim limitations. The rejection is thus legally inadequate for each of these reasons.

In view of the foregoing, reversal of the rejection is respectfully requested.

**F. Conclusion**

This is submitted to be a complete response to the referenced Office Action. In conclusion, Applicant submits that, in light of the foregoing remarks, the present case is in condition for allowance and such favorable action is respectfully requested.

The Examiner is invited to contact the undersigned at (512) 536-3085 with any questions, comments or suggestions relating to the referenced patent application.

Respectfully submitted,



Robert E. Hanson  
Reg. No. 42,628  
Attorney for Applicant

FULBRIGHT & JAWORSKI, L.L.P.  
600 Congress Ave., Ste. 1900  
Austin, Texas 78701  
(512) 536-4598

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